

## CHAPTER V: REMEDIES

The PIA provides for both civil and criminal penalties for violations of the Act. Given this potential liability and the salutary purposes of the PIA, care should be taken to make certain that an agency's officials and employees comply with the Act.

### *A. Liability of Agency*

In addition to injunctive relief, a court may award actual damages if the court finds by clear and convincing evidence that a defendant knowingly and willfully failed to disclose a public record or part of a record that the person was entitled to inspect. SG §10-623(d)(1). The official custodian is also liable for actual damages for failure to petition a court for an order to continue a temporary denial. SG §10-623(d)(2).

Reasonable attorney fees and other litigation costs are available if an applicant "substantially prevails." SG §10-623(f). The awarding of attorney fees lies with the discretion of the trial court. *Caffrey v. Dep't. of Liquor Control for Montgomery County*, 370 Md. 272, 299, 805 A.2d 268 (2002). While an actual judgment in favor of the applicant is not necessarily required for an applicant to "substantially prevail," the applicant must demonstrate that filing suit could reasonably be regarded as having been necessary to gain access to the records sought, that there is a causal nexus between the suit and the agency's release of the record, and that "key documents" were recovered. *Id.*, citing *Kline v. Fuller*, 64 Md. App. 375, 385, 496 A.2d 325 (1985). Among the pertinent considerations to be taken into account are the benefit the public derived from the suit, the nature of the applicant's interest in the released information, and whether the agency's withholding of the information had a reasonable basis in law. *Id.*, citing *Kirwan v. The Diamondback*, 352 Md. 74, 95-96, 721 A. 2d 196 (1998).

If the statute creating the agency specifically grants immunity from liability, that specific enactment will prevail over SG §10-623(f). *A.S. Abell Publishing Co. v. Mezzanote*, 297 Md. 26, 464 A.2d 1068 (1983). However, protection from damages does not equate to protection from liability and does not protect against the award of attorney fees under the PIA. *Caffrey v. Dep't. of Liquor Control for Montgomery County*, 370 Md. 272, 296, 805 A.2d 268 (2002).

The standard for attorney fees is very close to the standards under FOIA (5 U.S.C. §552(a)(4)(E)) and the Civil Rights Attorneys Fees Act (42 U.S.C. §1988), and the same liberal construction of “substantially prevailing” would probably apply under the Maryland Act. For a discussion of cases under 5 U.S.C. §552(a)(4)(E), *see* 179 A.L.R. Fed. 1.

Fees and costs are available under the PIA only to a prevailing “applicant.” Compare this provision with the Open Meetings Act, §10-510(d)(5)(i) of the State Government Article, which makes any “party” eligible for fees and costs.

## ***B. Liability of Persons Who Violate the Act***

### **1. Criminal Penalties**

SG §10-627 provides for a \$1000 criminal fine for any person who willfully and knowingly violates the Act. 61 *Opinions of the Attorney General* 698 (1976); 65 *Opinions of the Attorney General* 365 (1980). This section applies to any person, not just to custodians or agency employees.

SG §10-627(a)(3) also provides that a person may not “by false pretenses, bribery, or theft, gain access to or obtain a copy of a personal record whose disclosure to the person is prohibited by [the Act].” This provision was added to the law to protect an individual’s privacy. *See* Governor’s Information Practices Commission, Final Report 549-50 (1982). These “personal records” are the individually identifiable public records defined in SG §10-624.

### **2. Disciplinary Action**

When a court finds that the custodian acted “arbitrarily or capriciously” in withholding a public record, it is to refer the matter to the appointing authority of the custodian for appropriate disciplinary action. SG §10-623(e)(1). The appointing authority must investigate the matter and take such disciplinary action as is warranted under the circumstances. SG §10-623(e)(2).

### 3. Unlawful Disclosure or Use of Personal Records

SG §10-626 authorizes an award of actual damages, attorney fees and litigation costs against:

A person, including an officer or employee of a governmental unit ... if the court finds by clear and convincing evidence that:

(1)(i) the person willfully and knowingly permits inspection or use of a public record in violation of [the Act]; and

(ii) the public record names or, with reasonable certainty, otherwise identifies the individual by an identifying factor such as:

1. an address;
2. a description;
3. a finger or voice print;
4. a number; or
5. a picture; or

(2) the person willfully and knowingly obtains, discloses, or uses personal information in violation of §10-616(p) [MVA records] ....

This provision applies only to (1) “personal records” defined by SG §10-624, and (2) “personal information” defined by SG §10-611(f) and relating to Motor Vehicle Administration records. Unlike the damage provision in SG §10-623(d)(1), which authorizes damages only against a governmental unit, this section authorizes damages against officers or employees of a governmental unit and other persons not connected with the agency who have willfully and knowingly violated the law. This provision is not itself a basis for denying a PIA request. Rather, it is an additional sanction for failing to comply with PIA provisions that prohibit disclosure of certain “personal records” and to certain “personal information” in records of the Motor Vehicle Administration. *Police Patrol Security Systems v. Prince George’s County*, 378 Md. 702, 718, 838 A.2d 1191 (2003).

**4. Disclosure of Certain Information to the Attorney General**

A custodian is protected from civil and criminal penalties if the custodian transfers or discloses the content of any public record to the Attorney General as provided in §5-313 of the State Personnel and Pensions Article. This latter section, part of the “Whistleblower Law,” authorizes State employees to disclose to the Attorney General information otherwise protected by the PIA. SG §10-628.